



Core Competencies: Regulatory Compliance

PCI Compliance

- ✓ Managed a publicly traded corporation; being a Tier 2 merchant when PCI/DSS was enacted (2004)
- ✓ Actively led compliance for 15 years
- ✓ Responsible for creating policies and procedures to meet compliance
- ✓ Acted as QSA for entire SAQ D
- ✓ Architected solutions to meet evolving compliance (DSS 2.0/3.0)
- ✓ Drafted operational security policies to cover all regulatory efforts

SOX Compliance

- ✓ Managed a publicly traded company when SOX was enacted (2002)
- ✓ Participated in audits for 15 years
- ✓ Worked with PWC and E&Y
- ✓ Responsible for engaging directly with onsite auditors
- ✓ Provided "Management Responses" to any audited findings

Privacy Regulations

- ✓ Researched and complied with 201 CMR 17 for Massachusetts Data Privacy
- ✓ Researched and complied with Song-Beverly Credit Card Act for California Retailers
- ✓ Enhanced all policies/procedures to encompass both regulations
- ✓ Designed and tested controls to ensure continued compliance